

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/652,008
Filing Date	August 28, 2003
First Named Inventor	Kevin M. Tresenriter
Art Unit	3624
Examiner Name	Not Yet Assigned
Attorney Docket Number	412327
Total Number of Pages in This Submission	

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Mailing
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Lathrop & Gage L.C.		
Signature			
Printed Name	James M. Stipek		
Date	April 6, 2005	Reg. No.	39,388

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name	Denise Phipps	Date	April 6, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT
Attorney Docket No.: 412327

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Kevin M. Tresenriter	Examiner:	Not Yet Assigned
Serial No.	10/652,008	Group No.	3624
Filed:	August 28, 2003	Customer No.	30954
For:	System and Method for Making Trades		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATUS INQUIRY

1. More than one year and seven months have passed since:

☒ NEW APPLICATIONS

The filing of this application on August 28, 2003.

The only communication which has been received from the Patent and Trademark office since filing our application is a Filing Receipt on November 21, 2003, a Notice to File Missing Parts of Nonprovisional Application on November 21, 2003, an Updated Filing Receipt on February 27, 2004, and a Notice of Recordation of Assignment Document on July 29, 2004.

☐ AMENDED APPLICATIONS

The filing of a response on

No further communication has been received from the Patent and Trademark Office.

2. Kindly advise the undersigned of the present status of this application, by checking the appropriate box below. A stamped return addressed envelope is provided.

Respectfully submitted,

By:

James M. Stipek, Reg. No.: 39,388

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PATENT
Attorney Docket No.: 412327

STATUS INQUIRY REPLY

Application Serial No. 10/652,008 is currently

☐ Assigned to Group _____ and awaits:

☐ Action by Examiner.

☐ Applicant's response to:

_____ mailed _____

**CERTIFICATE OF MAILING (37 CFR 1.8)**

Matter No.

412327

Applicant(s): Kevin M. Tresenriter

Serial No.

Filing Date

Examiner

Group Art Unit

10/652,008

August 28, 2003

Not Yet Assigned

3624

For: System and Method for Making Trades

I hereby certify that this: Transmittal Form (1 page); Status Inquiry (2 pages); and authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600, are being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 6th day of April, 2005.

Denise Phipps

Name of Depositor

Signature of Depositor